

2018 Small/Mid-Cap Funds

2018 North American Equity Investors

May 18, 2018

Executive Summary:

This report provides detailed information from North American-based investors regarding small/mid-cap funds, including helpful benchmark data such as:

- Equity reported average spend and small/mid-cap commission mix
- Number of brokers used for small/mid-cap research/advisory services
- Proportion of one-on-one meetings at broker conferences
- Alternative data sets used as part of investment process

Methodology:

This report provides detailed information on cash equities markets according to buy-side firms located in North America regarding small/mid-cap funds. Research is based on in-person and telephone interviews with 120 respondents in the 2018 Study. The data in this report is based upon aggregated results from individuals participating in the study.

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